

HOW TO EVALUATE YOUR CURRENT SERVICE PROVIDER RELATIONSHIP

1

Can Your Current Provider Support Complex Strategies and Adapt With Your Evolving Investment Needs?

- Does your service provider have a proven track record of success servicing advisors with complex strategies that are first to market?
- Is your service provider part of a larger, diverse organization of professionals equipped to service all types of investment vehicles – 1940 Act funds, hedge funds, commodity pools, etc.?

2

Are Your Definitions of Relationship Management the Same?

- Does your service provider take a joint venture approach to servicing where both parties are invested in each other's success and work towards a common goal?
- Does your service provider offer a dedicated single point of contact for you to raise any issue or question?

3

Would a Turnkey Solution Help You Scale and Stay Current?

- Can you focus your time on portfolio management and distribution?
- Do you have the ability to delegate responsibility of monitoring ever changing regulations to a trusted industry expert?

4

Does Your Current Provider Adhere to Established Risk Management and Cybersecurity Processes?

- Do they offer best of breed technology to ensure fund compliance with applicable rules and regulations?
- Do they have an ISO 27001 certification standard?
- Does your current provider have continuous interaction with other financial intermediaries to ensure safekeeping of customer identity information?

5

Do They Have an Extensive Network of Other Service Provider Relationships (e.g., Legal, Audit, etc.)?

- Do they work with a deep bench of professionals that can assist with complex or novel concepts that can be the drivers of future success?
- Does your service provider offer an open-architecture approach to working with business partners that you believe are the right fit for your fund?

CONTACT TO LEARN MORE

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